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BETTER FINANCE International Conference Post-Brexit Capital Markets Union | How to make it work for people?

Speakers, Panellists, and Moderators | 22 April 2021

UGO BASSI



A lawyer by profession, Ugo Bassi is Director of Financial Markets in the European Commission's DG FISMA (Financial services). Among other high priority files, he has launched the Capital Markets Union project (CMU), a key priority and catalyst for further financial integration in Europe and the Sustainable Finance workstream.

He deals with specific financial markets legislation including MiFID/R (Directive and Regulation on Financial Instruments); EMIR (Regulation on Derivatives); BMR (European Benchmark Regulation); CCPs (recovery and resolution); MAD/R (Market Abuse Directive and Regulation); Asset Management including AIFMD, UCITS and EUVECA. He has recently been entrusted with responsibilities in Audit, Accounting and Credit Rating Agencies too.

Mr. Bassi has worked as "referendaire" in the Court of Justice and in private sector law firms before taking up service within the European Institutions.

ISABEL BENJUMEA BENJUMEA



Elected as a Member of the European Parliament in May 2019, Isabel has a degree in Law and International Relations from the Universidad Pontificia de Comillas of Madrid (ICADE). Co-Founder of the Floridablanca Network

In this IX legislature, she is the Vice-Chair of the Committee on Regional Development. She is also a Member of the Committee on Economic and Monetary Affairs, a Member of the SME Intergroup and a Member of the URBAN Intergroup. Furthermore, she is a Member of the Delegation to the EU-Ukraine Parliamentary Association Committee and of the Delegation to the Euronest Parliamentary Assembly.

NEILS BRAB



Niels Brab is Head of Group Regulatory Strategy and the Chief Regulatory Officer of Deutsche Börse Group. His area houses teams including Government Relations and Political Affairs, Regulatory Analytics, Regulatory Operations as well as ESG Regulation.

As part of his mandate, Niels covers key regulatory and political issues across the value chain of Deutsche Börse Group, including on market data and indices, trading, as well as clearing and settlement. Representing DBG in a variety of key fora and associations, such as the World Federation of Exchanges (WFE), the Federation of European Securities Exchanges (FESE), or the European Association of CCP Clearing Houses (EACH), Niels' expertise includes a variety of important financial regulatory dossiers, including MiFID, EMIR, FTT, CMU, CSDR, CRR/CRD, IFR, SFTR, MAD, PRIIPs, and BMR.

NICK DUTTON



Nick Dutton was appointed Chief Regulatory Officer for Cboe Europe in October 2019, overseeing regulatory strategy and the company's compliance and market surveillance functions. Nick supports the development of new business by advising management on the regulatory implications of new initiatives and on the likely impact of new regulation. He was previously Head of Compliance, managing Cboe Europe's compliance with its regulatory obligations and its day-to-day relationship with its primary regulators. Nick led the regulatory work both to establish and achieve authorisation for Cboe's new Dutch market operator, Cboe Europe B.V, and to secure Cboe's Recognised Investment Exchange status

in the UK. Nick has previously worked in a variety of regulatory roles for the London Stock Exchange, PwC, Nomura and Ronin Trading

MARKUS FERBER



Born in 1965 in Augsburg and an engineer by profession, Markus Ferber, has been a Member of the European Parliament since 1994. During his time in office, he has served in multiple high-profile roles, such as Chairman, CSU delegation in the European Parliament and Co-Chairman, CDU/CSU delegation in the EPP Group. Currently, he serves as the Coordinator of the European Peoples Party (EPP) in the European Parliament's Economic and Monetary Affairs Committee (ECON). As rapporteur for the recast of the Markets in Financial Instruments Directive (MiFID II) he has been the European Parliament's lead negotiator for one of the key pieces of post-crisis financial markets regulation. Markus Ferber takes a keen interest in financial services as well as banking and insurance legislation. On 1 January 2020, Ferber became Chairman of the Hanns-Seidel Foundation, a political foundation associated with the CSU.

MARCEL HAAG



Director for horizontal policies at the European Commission's Directorate-General for Financial Stability, Financial Services and Capital Markets Union.

He has worked in different Commission services, including prior to his present assignment, as a Director for policy coordination at the Secretariat General of the Commission. Mr Haag is a lawyer and qualified as a judge in Germany.

MEP EERO HEINÄLUOMA



Member of the European Parliament and Member of the Group of the Progressive Alliance of Socialists and Democrats in the European Parliament. Eero Heinäluoma held various posts in the Central Organisation of Finnish Trade Unions (SAK) from 1983 to 2003, was a member of Helsinki City Council, and has sat on the boards of several cooperative organisations and foundations.

AXEL KLEINLEIN



Axel Kleinlein is member of the board and spokesman of the German Association of the Insured (BdV) since 2011. After finishing his studies of mathematics at the University of Cologne and at the Free University of Berlin, he worked as actuary for Allianz Lebensversicherung in Stuttgart (in 1998/1999).

As Spokesman of BdV he is entirely responsible for the presentation of BdV in the mass media (TV, radio, newspapers, Twitter etc.) and for representation of BdV in public institutions and organizations linked to insurance issues.

In 2019 Mr. Kleinlein was elected President of Better Finance, for a three-year term and was appointed for 2019/20 at the EIOPA Expert Group on the Pan-European Pension Product.

ROBYN LAIDLAW



Robyn received a Master of Applied Finance from Macquarie University.

Currently Principal and Head of Distribution for Vanguard in Europe. She is also a member of the European Executive leadership team.

Robyn joined Vanguard in Australia in 2006, eventually leading Product & Marketing before moving to Vanguard's European business in 2015 to lead Distribution.

Robyn is responsible for developing Vanguard's business with financial intermediaries and advisers across Europe and the UK.

KAREL LANOO



Karel Lannoo has been Chief Executive of CEPS since 2000, Europe's leading independent European think tank, ranked among the top ten think tanks in the world. He manages a staff of 70 people.

Karel was an Independent Director of BME (Bolsas y Mercados Españolas), the listed company that manages the Spanish securities markets (2006-18) and is a member of foundation boards and advisory councils.

He has published several books on capital markets, MiFID, and the financial crisis, the most recent of which is *The Great Financial Plumbing, From Northern Rock to Banking Union*, 2015. He is also the author of many op-eds and articles published by CEPS or in international newspapers and reviews. Karel is a regular speaker in hearings for national and international institutions (the European Commission, European Parliament, etc.) and at international conferences and executive learning courses.

COMMISSIONER MAIREAD MCGUINNESS



Mairead McGuinness is the European Commissioner for financial services, financial stability, and Capital Markets Union, focused on ensuring the financial sector's strength and stability, so that it can deliver for people, society and the environment.

First Vice-President of the European Parliament from 2017 to October 2020, she served as an MEP from Ireland for 16 years and was a Vice-President of the Parliament since 2014.

PATRICK PAGNI



Patrick Pagni is Chairman of Lexington Global Distribution Partners, a company he co-founded to distribute US and European asset managers in the US offshore market.

Prior to this, Patrick Pagni spent most of his career at Societe Generale (SG), first in corporate banking and then in asset management. He was in charge of the western US from 1981 to 1984, head of the Hong Kong operations between 1984 and 1988, CEO of SG's brokerage business in the UK (1988-1992) and senior country head for the UK between 1992 and 1998. Upon his return to France in 1999, he became chief strategic officer of SG Corporate and Investment Banking operations, then joined SG Asset Management (SGAM). He negotiated the acquisition of Trust Company of the West of which he became an executive vice president upon his relocation to the US in 2001. When SGAM was merged with Credit Agricole Asset Management to create Amundi, he took the position of Senior Regional Officer for North America for Amundi.

GUILLAUME PRACHE



Guillaume Prache is the Managing Director of BETTER FINANCE, the European Federation of Investors and Financial Services Users. He is also one of the experts representing financial services users at the European Commission (lately as member of the High-Level Forum on the CMU), at ESMA (Securities & Markets Stakeholder Group), at EIOPA (Insurance and Reinsurance Stakeholder Group), and at the French financial regulator AMF. He has been the first chair of the ESMA Stakeholder Group, and former vice chair of the European Commission's FSUG (Financial Services User Group) and member of the EIOPA Pensions and EBA Banking Stakeholder Groups.

CLARE ROWLEY



Clare Rowley is the Head of Business Operations at the Global Legal Entity Identifier Foundation (GLEIF). Prior to working with GLEIF, Ms. Rowley worked at the United States Federal Deposit Insurance Corporation where she led technology initiatives improving bank resolution programs and contributed to research on subprime mortgages. Ms. Rowley is a CFA® charterholder and holds an MS in Predictive Analytics from Northwestern University.

PHILIPPE SERCEAU



Mr. Serceau has been with Amundi since 2000, currently Head of HR Consulting, French and International Retirement development inside the Employee Savings and Retirement Department.

MEP IRENE TINAGLI



Irene Tinagli, Chair of the Economic and Monetary Affairs of the European Parliament, holds a BA in Management from Bocconi University, a Master of Science, and a PhD in Public Policy from Carnegie Mellon University in Pittsburgh (PA).

Elected to the Italian Parliament in 2013, she served a five-year mandate dealing mostly with issues concerning economic and labour policies.

Prior to her political engagement, she had worked as a consultant for the United Nations Department of Economic and Social Affairs, the European Commission, and several regional and national European governments on the topics of innovation and economic development.

ANDREAS TREICHL



Andreas Treichl studied economics at the University of Vienna. In 1994 he joined Erste, a Viennese savings bank, of which he was its CEO from 1997 until the end of 2019.

In 2003 Andreas founded ERSTE Foundation (NGO) utilising the dividend it receives for social and cultural projects in its region. He also founded "Die Zweite" in 2006, a savings bank for the poor, and expanded the foundation's network in the CEE region.

Aside from being active in social banking and financial literacy, Andreas serves as president of the European Forum Alpbach, as chair of Die Zweite, vice chair of the Vienna Opera, the Česká Foundation, the CEU's Board of Trustees, the Foundation for Economic Literacy, and other organisations.

DAVID WRIGHT



Graduated from Worcester College, Oxford in 1974 in politics, philosophy and economics (PPE) David has worked for nearly 34 years from 1977 to 2011 in the European Commission, where he held a variety of posts.

He was the EU Visiting Fellow at St Antony's College, Oxford until July 2011 and was a Member of the European Commission's Task force on Greece until the end of January 2012.

David Wright's appointment as Secretary General of the International Organization of Securities Commissions (IOSCO) commenced on 15 March 2012.

Mr. Wright left IOSCO in March 2016 and is now the Chairman of EUROFI, Paris and a partner at FLINT-GLOBAL in London.

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| BETTER FINANCE thanks Amundi, the Global Legal Entity Identifier Foundation, Vanguard Asset Management Ltd. and Cboe Europe for their support. |